



Santa Fe County Treasurer's Office

2015

Broker/ Dealer List



FIRM	CONTACT	CRD#	PHONE	ADDRESS	E-MAIL
Sun Trust	Lauren Drennan	5921382	901-842-3799 901-857-2223	850 Ridge Lake Blvd Suite 400 Memphis, TN 38120	lauren.drennan@suntrust.com www.suntrustrh.com
Cantor Fitzgerald & Co.	Kathryn Rea	6115977	901-347-1726 901-246-3124	110 East 59th Street, 4th Floor New York, NY 10022	krea@cantor.com www.cantor.com
Charles Schwab	Eric Loucks	727289	505-661-2250 505-695-5113	LANB 1200 Trinity Drive Los Alamos, NM 87544	ericl@lanb.com www.schwab.com
Mutual Securities, INC.	Rene Molina	1322709	949-715-5515x21 866-899-5544	220 Park Ave. Suite 1 Laguna Beach, CA 92651	rene.molina@mutualsecurities.com www.mutualsecurities.com
Piper Jaffray	Victor Ume-ukeje	1512919	415-616-1662 800-774-8488	345 California Street, Suite 2300 San Francisco, CA 94104	victor.e.ume-ukeje@pjc.com www.piperjaffray.com
Shearson Financial Services, LLC	Robert Hirsch	1721702	281-392-2310 888-890-6626	6750 West Loop South, Suite 235 Bellaire, TX 77401	bhirsch4@bloomberg.net bhirsch@shearsonllc.com
Sterne Agee	Brandon Westbrook	4066180	901-489-2262 901-271-8878 888-708-9963	1715 Aaron Brenner Drive, Suite 103 Memphis, TN 38120	bwestbrook@sterneagee.com www.sterneagee.com
Vining Sparks	Jaime Loftin	4195526	800-786-1295 901-762-5852 901-335-9227	775 Ridge Lake Blvd. Memphis, TN 381201	jloftin@viningsparks.com www.viningsparks.com
Wells Fargo Securities, LLC	Larry Lundberg	4500324	801-246-1743 801-860-9146 800-574-6634	Wells Fargo Securities, LLC 299 South Main, 5th Floor Salt Lake City, UT 84111	larry.lundberg@wellsfargo.com www.wellsfargo.com